



Notice of a public meeting of

Audit & Governance Committee

To:	Councillors Cunningham-Cross (Chair), Barnes, Brooks (Vice-Chair), Burton, Cuthbertson, Steward and Watson
Date:	Wednesday, 13 February 2013
Time:	5.00 pm
Venue:	The Guildhall, York

AGENDA

1. Declarations of Interest

At this point, Members are asked to declare:

- Any personal interests not included on the Register of Interests
- Any prejudicial interests or
- Any disclosable pecuniary interests

which they may have in respect of business on this agenda.

2. Minutes (Pages 3 - 10)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 12 December 2012.

3. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the committee's remit can do so. The deadline for registering is **5:00 pm on Tuesday 12 February 2013.**

4. Exclusion of Press and Public

To consider the exclusion of the press and public from the meeting during consideration of Annex 1 to agenda item 10 on the grounds that it contains information relating to prevention, prosecution or investigation of crime. This information is classed as exempt under paragraph 7 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by the Local Government (Access to Information) (Variation) Order 2006).

5. Audit and Governance Committee Forward Plan to December 2013 (Pages 11 - 16)

This paper presents the future plan of reports expected to be presented to the committee during the forthcoming year to December 2013.

6. Review of the Effectiveness of Internal Audit (Pages 17 - 20)

This report seeks Members' approval for the arrangements for the review of the effectiveness of internal audit.

7. Scrutiny of the Treasury Management Monitor 3 Report 2012/13 and review of Prudential Indicators (Pages 21 - 46)

This report provides Members with an update of treasury management activity for the first nine months of 2012/13.

8. Scrutiny of the Treasury Management Strategy Statement and Prudential Indicators (Pages 47 - 82)

This report details the Treasury Management Strategy Statement and Prudential Indicators 2013/14 to 2017/18.

9. Progress Report on 2012/13 Audit Report (Pages 83 - 90)

This report provides an update from Mazars on their progress in delivering their responsibilities as the council's auditors.

10. Fraud Risk Assessment (Pages 91 - 106)

This report informs Members about potential fraud risks that the council is exposed to, and proposed counter fraud activity to address these risks.

11. Internal Audit Plan Consultation (Pages 107 - 110)

The purpose of this report is to seek Members' views on the priorities for internal audit for 2013/14, to inform the preparation of the annual audit plan.

12. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.